

FORM PTO-1390 (REV. 01-2003) US DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 125679
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/553,756
INTERNATIONAL APPLICATION NO. PCT/JP2004/010253	INTERNATIONAL FILING DATE July 12, 2004	PRIORITY DATE CLAIMED July 31, 2003
<b>TITLE OF INVENTION</b> VOLTAGE CONVERSION DEVICE AND COMPUTER-READABLE RECORDING MEDIUM HAVING PROGRAM RECORDED THEREON FOR COMPUTER TO CONTROL VOLTAGE CONVERSION BY VOLTAGE CONVERSION DEVICE		
<b>APPLICANTS FOR DO/EO/US</b> Masaki OKAMURA; Takashi YAMASHITA		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371. 2. <input checked="" type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected (Article 31). 5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). c. <input type="checkbox"/> The International Application was filed in English. 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).		
<b>Items 11 to 20 below concern document(s) or information included:</b>		
11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: Response to Notification of Missing Requirements		

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) 10/553,756	INTERNATIONAL APPLICATION NO. PCT/JP2004/010253	ATTORNEYS DOCKET NUMBER 125679		
21. <input checked="" type="checkbox"/> The following fees are submitted:		<b>CALCULATIONS</b> PTO USE ONLY		
<b>BASIC NATIONAL FEE (37 CFR 1.492(a)):</b> ..... \$ 300.00		\$		
<b>SEARCH FEE (37 CFR 1.492(b)(1)-(3)):</b>		\$		
International preliminary examination report or written opinion prepared by the USPTO as IPEA or ISA and favorable as to novelty, inventive step, and industrial applicability for all claims presented in the application entering the national phase ..... \$ 0.00				
International search fee (37 CFR 1.445(a)(2)) paid to USPTO as ISA..... \$ 100.00				
International search report provided to USPTO no later than the time at which the search fee is paid ..... \$ 400.00				
All situations not provided for above ..... \$ 500.00				
<b>EXAMINATION FEE (37 CFR 1.492(c)(1)-(2)):</b>		\$		
International preliminary examination report or written opinion prepared by the USPTO as IPEA or ISA and favorable as to novelty, inventive step, and industrial applicability for all claims presented in the application entering the national phase ..... \$ 0.00				
All situations not provided for above ..... \$ 200.00				
Surcharge of <b>\$130.00</b> for furnishing the search fee, the examination fee or the oath or declaration after the date of commencement of the national phase (37 CFR 1.492(h)).		\$		
APPLICATION SIZE FEE Total pages - 100 =	÷ 50	= †	× 250 =	\$
†round up to next integer				
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
TOTAL CLAIMS	- 20	=	× 50.00 =	\$
INDEPENDENT CLAIMS	- 3	=	× 200.00 =	\$
MULTIPLE DEPENDENT CLAIM(S)(if applicable)			+ 360.00 =	\$
<b>TOTAL OF ABOVE CALCULATIONS</b> =				\$
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by $\frac{1}{2}$ .				\$
<b>SUBTOTAL</b> =				\$
Processing fee of <b>\$130.00</b> for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$130.00
<b>TOTAL NATIONAL FEE</b> =				\$130.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property +				\$
<b>TOTAL FEES ENCLOSED</b> =				\$130.00
10/20 00000119 10553756 01 FC 1618 130.00 OP				Amount to be refunded: \$ charged: \$
a. <input checked="" type="checkbox"/> Check No. 185278 in the amount of <b>\$130.00</b> to cover the above fees is enclosed.				
b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.				
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 15-0461. A duplicate copy of this sheet is enclosed.				
d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.				
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.				
SEND ALL CORRESPONDENCE TO: OLIFF & BERRIDGE, PLC Customer Number: 25944				
Date <u>October 17, 2006</u>				
NAME: James A. Oliff REGISTRATION NUMBER: 27,075				
NAME: Joel S. Armstrong REGISTRATION NUMBER: 36,430				

## **PATENT APPLICATION**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re the Application of**

Attn: Mail Stop PCT

Masaki OKAMURA et al.

Application No.: 10/553,756

Docket No.: 125679

Filed: October 17, 2006

For: VOLTAGE CONVERSION DEVICE AND COMPUTER-READABLE RECORDING MEDIUM HAVING PROGRAM RECORDED THEREON FOR COMPUTER TO CONTROL VOLTAGE CONVERSION BY VOLTAGE CONVERSION DEVICE

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C 371  
IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)  
WITH TRANSLATION OF THE APPLICATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C 371 in the United States Designated/Elected Office (DO/EO/US) (copy attached) mailed on September 5, 2006, submitted herewith is, upon information and belief, an accurate translation of the International application. A Preliminary Amendment was filed on October 18, 2005 to eliminate multiple dependencies and minor informalities from the claims.

The Preliminary Amendment filed on October 18, 2005 is attached for the Examiner's convenience.

(Column 1)	(Column 2)	(Column 3)	
	CLAIMS REMAINING AFTER TRANSLATION AND ANY PRELIMINARY AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA
TOTAL CLAIMS	*20 MINUS	**20	=0
INDEP CLAIMS	*3 MINUS	***3	=0
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM			

SMALL ENTITY

RATE	ADD'L FEE
x 25 =	\$
x 100 =	\$
+ 180 =	\$
	\$

OTHER THAN A  
SMALL ENTITY

RATE	ADD'L FEE
x 50 =	\$
x200 =	\$
+360 =	\$
	\$

OR

OR

†round up to next integer

- \* If the entry in Column 1 is less than the entry in Column 2, write "0" in Column 3.
  - \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
  - \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

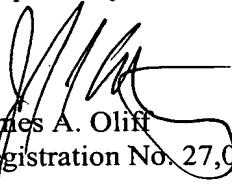
The "Highest Number Previously Paid For" in this space (Total or Independent) is the highest number found from the equivalent box in Column 1 of a prior Amendment or the number of claims originally filed.

Attached is our Check No. 185278 for  \$130.00 for the fee under 37 C.F.R. §§ 1.492(h) and (i) and any excess claim fees noted above.

Entry of these documents should complete all of the filing formalities and fully satisfy all requirements of the Notification of Missing Requirements. Accordingly, prompt issuance of a Notification of Acceptance and Official Filing Receipt, and prompt examination and allowance of this application are respectfully solicited.

The Commissioner is hereby authorized to charge any additional fee (or credit any overpayment) associated with this communication to Deposit Account No. 15-0461. Two duplicate copies of this paper are attached.

Respectfully submitted,

  
James A. O'Neill  
Registration No. 27,075

Joel S. Armstrong  
Registration No. 36,430

JAO:JSA/nxy

Date: October 17, 2006

**OLIFF & BERRIDGE, PLC**  
**P.O. Box 19928**  
**Alexandria, Virginia 22320**  
**Telephone: (703) 836-6400**

**DEPOSIT ACCOUNT USE  
AUTHORIZATION**  
Please grant any extension  
necessary for entry;  
Charge any fee due to our  
Deposit Account No. 15-0461

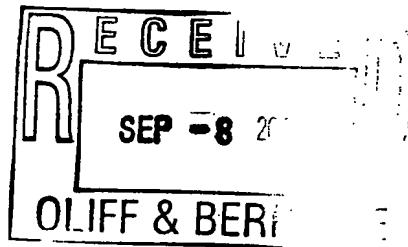


## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/553,756	Masaki Okamura	125679

25944  
 OLIFF & BERRIDGE, PLC  
 P.O. BOX 19928  
 ALEXANDRIA, VA 22320



INTERNATIONAL APPLICATION NO.
PCT/JP04/10253

I.A. FILING DATE	PRIORITY DATE
07/12/2004	07/31/2003

CONFIRMATION NO. 5958  
 371 FORMALITIES LETTER



\*OC000000020298531\*

## MISSING PARTS

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 10/18/2005
- Copy of the International Search Report filed on 10/18/2005
- Preliminary Amendments filed on 10/18/2005
- Information Disclosure Statements filed on 10/18/2005
- Oath or Declaration filed on 10/18/2005
- Request for Immediate Examination filed on 10/18/2005
- U.S. Basic National Fees filed on 10/18/2005
- Assignment filed on 10/18/2005
- Priority Documents filed on 10/18/2005
- Power of Attorney filed on 12/01/2005

## DUE DATE

NOV 05 2006

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Processing fee of \$130 for providing the translation of the application and/or the Annexes later than 30 months from the priority date (37 CFR 1.492(i)).

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 for English translation surcharge required.

DOCKETED  
 By APL on 9/18 2006  
 and  
 By SSH on 9/18 2006  
 Oliff & Berridge

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION,

**WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PATRICIA A BOOKER

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Telephone: (703) 308-9140 EXT 204

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/553,756	PCT/JP04/10253	125679

FORM PCT/DO/EO/905 (371 Formalities Notice)